

## Problem Set I, Petar Maymounkov

6.841J – Advanced Complexity with Prof. Madhu Sudan

### 1. Hierarchy review:

(a)  $\text{TIME}(n^2) \not\subseteq \text{TIME}(\omega(n^2 \log n))$ :

Let  $X = x_1, x_2, \dots$  be a lexicographic enumeration of all binary strings, and let  $M_1, M_2, \dots$  be an enumeration of all Turing Machines. For a fixed encoding choice, we can find  $M_1, M_1, M_2, M_1, M_2, M_3, \dots$  as a subsequence in  $X$  in a linear-time verifiable manner. For example, in order to ensure multiple copies  $M_i$  in the sequence, one can designate each string of the (DFA) form  $\text{Encode}(M_i) \cdot 1 \cdot 0^*$  to represent  $M_i$ .

We are going to build a machine  $M^*$  which runs in  $O(n^2 \log n)$  time and differs from each  $M_i$  on at least one input. On input  $x$ , the machine  $M^*$  proceeds as follows:

- (a) Check if  $x$  is a valid encoding of a Turing Machine. If not, output "0", otherwise let that machine be  $M_i$
- (b) Simulate  $M_i$  for exactly  $|x|^2 \cdot \log^* |x|$  steps, by keeping an additional step counter whose size is  $O(\log n)$  bits. Using a remark from class, we know that we can simulate a Turing Machine in real time. In addition, at each step we need to increment the counter, which takes  $O(\log n)$  time steps per increment. Therefore, the overall simulation cost will be  $O(n^2 \cdot \log n \cdot \log^* n)$ .

If  $M_i$  halts during simulation, then complement its output, otherwise output "0".

For correctness, let  $M_i$  be any machine in  $\text{TIME}(n^2)$  which eventually runs in time  $cn^2$  for some constant  $c > 0$ . Since  $M_i$  appears infinitely often in the above sequence, eventually there will be a  $x_j$  which encodes  $M_i$  and  $|x_j|^2 \cdot \log^* |x_j| \geq cn^2$ . By construction  $M^*$  will differ from  $M_i$  on that input.

Finally, observe that  $\log^*(\cdot)$  could have been replaced by any function that grows faster than  $O(1)$ .

(b)  $\text{SPACE}(n^2) \not\subseteq \text{SPACE}(\omega(n^2))$ :

The construction in this case is much the same except for the following differences.  $M^*$  simulates  $M_i$  on input  $x$  by giving it  $|x|^2 \cdot \log^* |x|$  space and running it for  $O(2^{|x|^2 \cdot \log^* |x|})$  time steps. If  $M_i$  does not terminate, or falls in a loop, or overflows the allocated space, then  $M^*$  simply outputs "0", otherwise it complements the output of  $M_i$ . Also note that the time step counter requires  $O(|x|^2 \cdot \log^* |x|)$  bits.

Finally, by replacing  $\log^*(\cdot)$  with any function that grows faster than  $O(1)$  we get the required result.

### 2. Non-deterministic space-bounded computation:

- (a) This first part is straightforward. The program goes like this. Set the "current" vertex  $v_c$  to equal  $s$ . Repeat at most  $|V(G)|$  times: Guess an adjacent vertex  $v_a$  and set  $v_c := v_a$ . If  $v_c$  equals  $t$  then halt "YES". After  $|V(G)|$  unsuccessful guesses, halt "NO".

(b) (This part is adapted from Sipser’s textbook.) Begin by building an **NL** subroutine for computing the number  $C$  of vertices reachable from  $s$ . Let  $C_i$  denote the number of vertices reachable from  $s$  in at most  $i$  steps.  $C_0 = 1$  and assume inductively that we have an **NL** subroutine that computes  $C_i$ . Compute  $C_{i+1}$  as follows:

- Set  $C_{i+1} := C_i$
- For each  $v \in V(G)$  do:
  - Set  $C'_i := 0$
  - For each  $v \neq u \in V(G)$  do:
    - \* Guess whether  $u$  reachable from  $s$  in  $i$  steps
    - \* If guessed yes, check that guess is correct. If guess is incorrect reject
    - \* Set  $C'_i := C'_i + 1$
    - \* If  $(u, v) \in E(G)$  set  $C_{i+1} := C_{i+1} + 1$
  - If  $C'_i \neq C_i$  reject
- Accept and output  $C_{i+1}$

To solve co-PATH, we proceed as follows:

- Find  $C$ , using the above **NL** routine
- Set  $R := 0$
- For each  $v \in V(G)$ , do:
  - Guess whether  $v$  is connected to  $s$
  - If guessed yes: check that this is indeed true and increment  $R$ , otherwise reject
  - If  $v = t$  then reject
- If  $R \neq C$  reject
- Accept

### 3. Space-efficient boolean matrix multiplication and consequences:

(a) We compute each  $C_{ij}$  in turn (maintaining a counter to tell us where we are at). For each  $C_{ij}$  we check whether both  $a_{ik}$  and  $b_{kj}$  equal 1, for all  $1 \leq k \leq n$  (by maintaining a counter for  $k$ ). We will need another counter that helps us locate  $a_{ik}$  (or  $b_{kj}$ ) given  $i, j$  and  $k$  by walking to them.

(b) Consider the recursive routine  $\text{Compute}(A_{ij}^k)$ :

- If  $k = 1$ , return  $A_{ij}$
- For every  $1 \leq l \leq n$  do:
  - If  $\text{Compute}(A_{il}^{\lceil k/2 \rceil}) = \text{Compute}(A_{lj}^{\lfloor k/2 \rfloor}) = 1$ , then return 1
- Return 0

The above function has bounded recursion depth  $O(\log k)$ . Furthermore the body of the function can be executed using a constant number of pointer/counters. Thus the total space requirement is  $O(\log k \log n)$ .

This construction is in the heart of Savitch's Theorem stating  $\text{NSPACE}(f(n)) \subseteq \text{DSPACE}(f(n)^2)$ . In particular, given a machine in  $\text{NSPACE}(f(n))$  the configuration space (states + tape) is a directed graph  $G_M$  on  $2^{O(f(n))}$  vertices. Savitch's Theorem boils down to checking connectivity between the starting configuration and the  $2^{f(n)}$  halting configurations in at most  $2^{O(f(n))}$  steps. This problem is equivalent to computing  $A_{ij}^{2^{f(n)}}$ , where  $A$  is the adjacency matrix of  $G_M$  and  $(i, j)$  encode halting configurations. Therefore the space required by the deterministic simulation of  $M$  is  $O(\log 2^{O(f(n))} \log 2^{f(n)}) = O(f(n)^2)$ .

#### 4. Ladner's general theorem:

Let  $f_1, f_2, \dots, f_i, \dots$  be an enumeration of all binary functions  $f_i : \{0, 1\}^* \rightarrow \{0, 1\}^*$  such that  $f_i(x)$  can be computed in at most  $|x|^i$  time steps.

For a polynomially-computable  $h(n) : \mathbb{N} \rightarrow \mathbb{N}$  (to be specified later), define  $L_A$  and  $L_B$  as follows:

$$\begin{aligned} L_A &= \{x \mid (x = 0 \cdot w \wedge w \in L_1) \vee \\ &\quad (x = 1 \cdot w \wedge h(|x|) \text{ even} \wedge x \in L_2)\} \\ L_B &= \{x \mid (x = 0 \cdot w \wedge w \in L_1) \vee \\ &\quad (x = 1 \cdot w \wedge h(|x|) \text{ odd} \wedge x \in L_2)\} \end{aligned}$$

By construction, it is clear that  $L_1 \leq_{\mathbf{P}} L_A, L_B \leq_{\mathbf{P}} L_2$ . It remains to show that  $L_A \not\leq_{\mathbf{P}} L_B$  and  $L_B \not\leq_{\mathbf{P}} L_A$ . These two conditions will be implied by the definition of  $h(n)$ .

We define  $h(n)$  recursively as follows. The base case is  $h(1) = 2$ . In the inductive step:

- If  $h(n) = 2i$ , then
  - If there exists  $x$  with  $|x| \leq Q(n)$  and  $L_A(x) \neq L_B(f_i(x))$ , then  $h(n+1) = 2i+1$ , otherwise  $h(n+1) = h(n)$ .
- If  $h(n) = 2i+1$ , then
  - If there exists  $x$  with  $|x| \leq Q(n)$  and  $L_B(x) \neq L_A(f_i(x))$ , then  $h(n+1) = 2(i+1)$ , otherwise  $h(n+1) = h(n)$ .

Note that  $h(n)$  goes to infinity, because otherwise it would follow that  $L_2 \leq_{\mathbf{P}} L_1$  for contradiction.

The value  $Q(n)$  is chosen so that we can deterministically compute in  $O(\text{poly}(n))$  time steps the following:

- A description of  $f_i$  for any  $i \leq Q(n)$
- The values  $L_1(x)$  and  $L_2(x)$  for all  $x$  with  $|x| \leq Q(n)$

By construction, it is now clear that  $h(n)$  is polynomial-time computable, and  $L_A \not\leq_{\mathbf{P}} L_B$  and  $L_B \not\leq_{\mathbf{P}} L_A$ .

## 5. Approximation and inapproximability:

- (a) It is straightforward that ASYMMETRIC  $k$ -CENTER is in **NP**, since a solution can be easily verified using the All Pairs Shortest Paths.

The decision version of ASYMMETRIC  $k$ -CENTER would be the language containing all  $(G, k, d)$  for which  $G$  is a weighted (un)directed graph for which there exists  $S \subseteq V(G)$  with  $|S| \leq k$  and  $\max_{x \in V(G)} \min_{y \in S} d_G(x, y) \leq d$ . We reduce VERTEX COVER to this problem.

Let  $G$  be undirected. Consider  $G^*$  which is a copy of  $G$  with an additional vertex  $v_{(u,w)}$  for each edge  $(u, w) \in E(G)$ , and additional edges  $(u, v_{(u,w)})$  and  $(v_{(u,w)}, w)$ . It is easily seen that  $G$  has a  $k$ -VERTEX COVER iff  $G^*$  has a  $k$ -CENTER. Note that this reduction reduces VERTEX COVER to DOMINATING SET, which is the same as SYMMETRIC  $k$ -CENTER with distance 2.

- (b) We show that ASYMMETRIC  $k$ -CENTER cannot be  $(2 - \epsilon)$ -approximated for any  $\epsilon > 0$ . We demonstrate how to solve DOMINATING SET using a  $(2 - \epsilon)$ -approximation oracle for SYMMETRIC  $k$ -CENTER.

For an unweighted, undirected graph  $G$ , let  $G^*$  be a weighted copy of  $G$  with some additional edges. In particular, for every  $(u, w) \notin E(G)$ , we have  $(u, w) \in E(G^*)$  and  $w(u, w) = 2$ .

Now just note (using a basic integrality argument) that every  $k$ -center  $S$  of  $G^*$  with  $\text{Obj}(S) < 2$  (found by the approximation oracle) is in fact one with  $\text{Obj}(S) = 1$  and hence it is a dominating set.

- (c) Let  $\Pi$  be a promise problem defined as follows.  $\Pi_{\text{YES}}$  contains all pairs  $(k, G)$  for which graph  $G$  has a  $k$ -center  $S$  with  $\text{Obj}(S) \leq 1$ .  $\Pi_{\text{NO}}$  contains all pairs  $(k, G)$  for which graph  $G$  has no  $k$ -center  $S$  with  $\text{Obj}(S) \leq c$ .

Let  $A(k, G) \in \mathbb{R}$  be the output of the  $c$ -approximation oracle for SYMMETRIC  $k$ -CENTER on input  $(k, G)$ . Then the predicate " $A(k, G) \leq c$ " decides  $\Pi$ .