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Prop: Let  $G = \mathbb{Z}/N\mathbb{Z}$  and  $f: G \rightarrow \mathbb{C}$  is 1-bounded such that there is  $S \subseteq G$ ,  $|S| \geq \delta |G|$  and  $\varphi: S \rightarrow \widehat{G}$  such that  $|\Delta(f, h)^\wedge(\varphi(h))| \geq \eta$ . Write  $\Gamma = \{(h, \varphi(h)) : h \in S\}$ . Then  $\omega(\Gamma) \geq \eta \delta^8$ .

PF: Hypothesis implies  $\mathbb{E}_h \mathbb{1}_S(h) |\Delta(f, h)^\wedge(\varphi(h))^2| \geq \delta \eta^2$ .

Expanding out, obtain:

$$\left| \mathbb{E}_h \mathbb{1}_S(h) \mathbb{E}_{x, y} \overline{f(x)f(x+h)f(y)f(y+h)} e\left(\frac{\varphi(h)(x-y)}{N'}\right) \right| \geq \delta \eta^2$$

Substitute  $y = x+k$  gives:

$$\left| \mathbb{E}_{h, x, k} \mathbb{1}_S(h) \overline{f(x)f(x+h)f(x+k)f(x+h+k)} e\left(\frac{\varphi(h)k}{N'}\right) \right| \geq \delta \eta^2$$

By triangle inequality:

$$\mathbb{E}_{x, k} \left| \mathbb{E}_h \mathbb{1}_S(h) \overline{f(x+h)f(x+h+k)} e\left(\frac{-\varphi(h)k}{N'}\right) \right| \geq \delta \eta^2$$

By Cauchy-Schwarz:

$$\mathbb{E}_{x, k} \left| \mathbb{E}_h \mathbb{1}_S(h) \overline{f(x+h)f(x+h+k)} e\left(\frac{-\varphi(h)k}{N'}\right) \right|^2 \geq \delta^2 \eta^4$$

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This can, after a little thought, be written as:

$$\mathbb{E}_k \|F_k * G_k\|_2^2 \geq \sigma^2 \eta^4, \text{ where } \begin{cases} G_k(t) = \Delta(f, k)(t) \\ F_k(t) = \frac{1}{N!} e^{-\frac{\varphi(t)k}{N!}} \end{cases}$$

In terms of Fourier transform on  $G$ , this implies

$$\mathbb{E}_k \sum_r |\hat{F}_k(r)|^2 |\hat{G}_k(r)|^2 \geq \sigma^2 \eta^4 \quad \left( \begin{array}{l} \text{F.T. of conv. =} \\ \text{prod. of F.T.s} \\ \text{+ Parseval} \end{array} \right)$$

By Cauchy-Schwarz and  $\sum_r |\hat{G}_k(r)|^4 \leq 1$  (Parseval, applied to  $G_k * G_k$ )

this tells us that  $\mathbb{E}_k \left( \sum_r |\hat{F}_k(r)|^4 \right)^{1/2} \geq \sigma^2 \eta^4$ .

Cauchy-Schwarz again  $\Rightarrow \mathbb{E}_k \sum_r |\hat{F}_k(r)|^4 \geq \sigma^4 \eta^8$ .

What is the LHS? Expand out,

$$\mathbb{E}_k \sum_r \left| \mathbb{E}_t \frac{1}{N!} e^{-\frac{\varphi(t)k - rt}{N!}} \right|^4$$

expanding out using orthogonality relations gives

$$\mathbb{E}_{t_1, t_2, t_3, t_4} \mathbb{E}_k e^{\frac{k}{N!} (\varphi(t_1) - \varphi(t_2) - \varphi(t_3) + \varphi(t_4))} \cdot \sum_r e^{\frac{r}{N!} (t_1 - t_2 - t_3 + t_4)}$$

$$= \frac{1}{(N)^3} \# \left\{ \begin{array}{l} \text{solutions to } t_1 + t_2 = t_3 + t_4 \\ \varphi(t_1) + \varphi(t_2) = \varphi(t_3) + \varphi(t_4) \end{array} \right\}$$

This is equivalent to  $\omega(\Gamma) \geq \delta \eta^8$ .  $\square$

$\|f\|_{U^3} \geq \delta \Rightarrow$  for at least  $\frac{\delta^8}{2} N$  values of  $h$  we have:  
 $|\Delta(f; h) - (\varphi(h))| \geq \frac{\delta^8}{2}$ .

Theorem (Local inverse theorem for the  $U^3$  norm).

Suppose  $f: G \rightarrow \mathbb{C}$  is 1-bounded and that  $\|f\|_{U^3} \geq \delta$ .

Then there is an AP.  $Q \subseteq G$ ,  $|Q| \geq \exp(-\delta^{-c}) N^\delta$  together with quadratic ~~phases~~ polynomials  $\psi_0, \dots, \psi_{N-1}$  such that

$$\mathbb{E}_{i=0, \dots, N-1} \left| \mathbb{E}_{x \in Q+i} f(x) e(\psi_i(x)) \right| \geq \delta^c.$$

Remarks: Called "local inverse theorem" because  $|Q| \ll N$ . Such a theorem is useless for handling most functions  $f$  which arise in prime number theory, e.g.  $f = \mu =$  Möbius. Indeed handling

sums  $\sum_{x \in I} \mu(x)$  even without a quadratic phase is hopeless if  $I \subseteq \{1, \dots, N\}$  and  $|I| \ll N^{1-c}$ .

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What is needed for such applications is a "global" inverse theorem, in which  $f$  is shown to correlate with something on all of  $G$ . Unfortunately, quadratic phases are not enough.

We require "2-step nil sequences". (To be elaborated later)

Proof: By Gowers proposition (just proved) the function  $\varphi$  (for which

$|\Delta(f; h)^{\wedge}(\varphi(h))| \geq \frac{\delta^8}{2}$ ) is "weakly linear".

If  $\varphi$  were exactly linear, say  $\varphi(h) = -2h$ ? We then have

$\mathbb{E}_h |\Delta(f; h)^{\wedge}(-2h)|^2 \geq \delta^c$ . Expanding out + substitution:

$$\mathbb{E}_h \mathbb{E}_{x, k} \overline{f(x) f(x+h) f(x+k) f(x+h+k)} e\left(\frac{2hk}{N}\right) \geq \delta^c$$

$\Rightarrow \mathbb{E}_{h, x, k} \tilde{f}(x) \tilde{f}(x+h) \tilde{f}(x+k) \tilde{f}(x+h+k) \geq \delta^c$  where

$$\tilde{f}(x) = f(x) e\left(\frac{x^2}{N}\right).$$

$$\left( \Delta(f; h)(x) = \overline{f(x) f(x+h)} \right)$$

$$\Rightarrow \|\tilde{f}\|_{W^2} \geq \delta^c \xrightarrow{\text{u-inverse thm}} |\mathbb{E} x \tilde{f}(x) e(-\theta x)| \geq \delta^c \text{ for some } \theta$$

$$\Rightarrow |\mathbb{E} x f(x) e(\frac{x^2}{N} - \theta x)| \geq \delta^c, \text{ that is,}$$

(corrected with a quadratic phase.)

We now sketch pf. of local inverse theorem:

Step 0: There are many ( $\geq \delta N$ ) values of  $h$  such that  $|\Delta(fh)^\wedge(\varrho(h))| \geq \delta^c$

Step 1: Apply proposition at start of lecture:  
 $\Gamma = \{(h, \varrho(h))\}$  then  $\omega(\Gamma) \geq \delta^c$ .

Step 2: By Balog-Szemerédi-Gowers, there is  $\Gamma' \subseteq \Gamma, |\Gamma'| \geq \delta^c |\Gamma|$   
 such that  $\delta[\Gamma'] = \frac{|\Gamma' \Gamma''|}{|\Gamma''|} \leq \delta^{-c}$ .

Step 3<sup>†</sup>: Apply Freiman-Ruzsa to place  $\Gamma'$  inside a G.A.P.,  $P$ ,  
 of dimension  $d \leq \delta^{-c}$  and size  $\leq \exp(\frac{1}{\delta^c}) |\Gamma''|$ .

Step 4: Divide  $P$  into  $t$ -dimensional (i.e. regular) arithmetic  
 progressions of size  $\approx N^{1/d}$ .

$\Gamma'$  has big intersection with one of these, say  $Q$ . But if graph

of a function  $\sqrt[k]{\cdot}$  contained in a ( $t$ -dimensional) progression then  
 that function is affine linear.

⑥

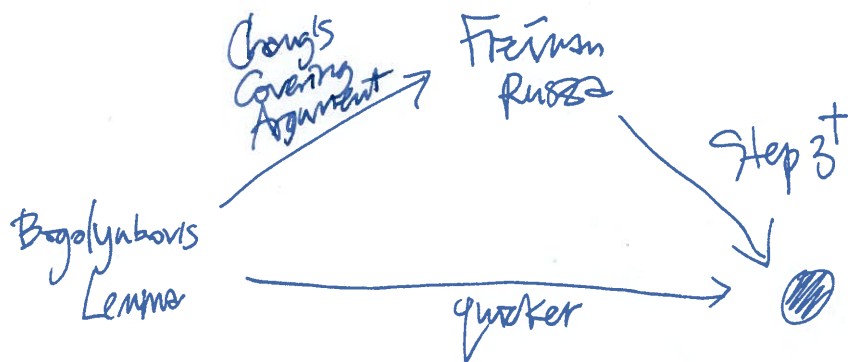
Step 5: We have  $E_{h \in Q} |\Delta(f|h)^{\wedge}(ah+b)|^2 \geq \delta^c$ .

Step 6: Model the argument for when  $\varphi(h) = -2h$ ,  
noting that  $Q$  is just a subprogression.

What were  $*$  and  $+$  in Step 3?

(\*)  $\Gamma$  is a subset of  $\mathbb{Z}/N\mathbb{Z} \times \mathbb{Z}/N\mathbb{Z}$  and we didn't prove  
Freiman-Ruzsa in this context. To get around, lift  $\Gamma$  to  
subset of  $\{1, \dots, N\} \times \{1, \dots, N\} \subseteq \mathbb{Z}^2$ . This increases the  
doubling by 4, maybe. Now project to  $\mathbb{Z}$  via  $(x, y) \mapsto x + My$  for  
some huge  $M$  (say  $10N^4$ ).

(A)



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